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COMPLAINTS PROCEDURE AND POLICY

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Author	Faye Hand, Head of HR					
Reviewed by	Alison Dann, Group Director of Quality and People					
Authorised by	Luke Muscat, Group CEO					
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DOCUMENT CONTROL

Version	Name	Comment	Date
1.0	Alison Dann	New Issue	30.10.17
1.0	Alison Dann	Review	30.09.18
1.1	Alison Dann	Review – Addition of contact address for complaints and stage 3 acknowledgement is provided	16.11.19
1.1	Alison Dann	Review	15.12.20
1.2	Ben Waite	Added learner process flowchart	01.03.21
1.2	Faye Hand	Review	05.05.22
2.0	Ben Waite	Updated to group contract	29.09.23
2.1	Vicky Marlor	Inclusion of BePro and ECTA	30.09.24



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Policy Statement

For the purpose of clarity, the term "B2W" refers to the family of B2W Group organisations – Back to Work Complete Training, Just IT, BePro and ECTA.

1.0 PURPOSE

- 1.1 B2W believes it is in everyone's interest to resolve complaints as quickly as possible, as close to the source of the problem as possible, and where appropriate by informal means.
- 1.2 B2W aims to ensure that all complaints received are dealt with promptly, fairly, professionally and in a non-discriminatory manner.
- 1.3 B2W aims to ensure appropriate action is taken to resolve issues and will endeavor where appropriate to prevent similar occurrences.

2.0 SCOPE

- **2.1** This policy applies to complaints from any learner, former learner or external stakeholder who wishes to express dissatisfaction with an aspect of B2W's activities.
- 2.2 Any allegation made against a member of staff/volunteer regarding abuse will be dealt with under the Safeguarding Policy and Procedure.
- 2.3 Other policies and procedures that work in conjunction with the Complaints procedure are: Staff Grievance Policy, Safeguarding Policy and Procedure, Equality and Diversity Policy, Fees Policy, Academic Appeals procedure, Internal/External Assessment policies including appeals and Student Disciplinary Procedures. Complaints may be dealt with under any of these policies and procedures as B2W considers appropriate in the circumstances.
- **2.4** A complaint must be made within 3 months of the event that gave rise to it.
- **2.5** B2W endeavours to deliver high standards at all times to its learners and stakeholders. Learners, former learners, and stakeholders may complain about any aspects of B2W's activities.
- **2.6** B2W reserves the right not to investigate complaints it considers to be vexatious or malicious at any stage. If B2W deems this to be the case, the complainant will be informed in writing. Making of vexatious or malicious complaints could result in disciplinary action.
- **2.7** B2W will not normally deal with anonymous complaints and will do so only exceptionally at the providers discretion.
- **2.8** If interviews are deemed necessary, the Investigating Officer may have another member of staff present in all meetings with the parties involved.



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- **2.9** B2W staff have the right to terminate any meeting or interview if they feel that the behavior of any of the parties involved is inappropriate.
- **2.10** All funding partners and commissioners will be notified of any associated complaints

3.0 PROCEDURE

3.1 Stage 1 - Informal stage

3.2 It is expected that day-to-day concerns will be raised and resolved promptly and informally between a complainant and his/her subject trainer, assessor or other appropriate member of staff (including manager of department/ function the complaint is concerned with).

3.3 Stage 2 – Formal Stage

- 3.4 When it is felt that an issue has not been resolved satisfactorily at the informal stage, or is of a sufficiently serious or complex nature that it should be dealt with formally, a complainant should submit his/her complaint, in writing, on the appropriate form (Appendix 1) to complaints@justit.co.uk or complaints@b2wgroup.com or following the electronic complaint form link on the relevant company website.
- 3.5 The complaint will normally be acknowledged within 3 working days of its receipt. It will then be forwarded to the appropriate Senior Management Team (SMT) member who will appoint an appropriate manager/member of staff to investigate and determine the complaint. After investigating and determining the complaint, a written response with findings will be sent to the complainant.
- **3.6** The complainant may be invited to meet with a manager or member of B2W staff to resolve the complaint.

3.7 Stage 3 - Appeal Stage

- **3.8** The complainant will receive a written outcome response of the stage 2 decision and this will normally be provided within 10 working days by the Group Director of Quality and People.
- 3.9 If your complaint is not resolved to your satisfaction at Stage 2, you may seek to appeal the decision by sending a written request for appeal to the Group Director of Quality and People, within 5 working days of the date of the providers decision at Stage 2. Your request must be based on one or more of the following grounds, that:
 - the complaint procedure was not followed
 - new material evidence has come to light which was not reasonably available at the time of the complaint investigation
 - the outcome of the complaint was unreasonable



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- **3.10** The appeal request will normally be acknowledged within 3 working days of receipt by the Group Director of Quality and People.
- **3.11** If it is determined by a member of the Senior Management Team that none of the above grounds are disclosed, B2W will not further consider your complaint at Stage 3.
- 3.12 If it is determined by a member of the Senior Management Team that one or more of the above grounds are disclosed in your request for an appeal, you will be invited to submit detailed information in support of your appeal, including an indication of the resolution or outcome you are seeking, and to provide copies of all documents upon which you wish to rely as part of your appeal. The appeal will be considered and determined, normally on paper, by the nominated member of the Senior Management Team.

The nominated member of the Senior Management Team will determine an outcome which may include overturning the Stage 2 decision (in whole or in part) or upholding the original decision at Stage 2.

- **3.13** If a review meeting is required, you may be accompanied and/or represented by a fellow colleague/learner or family member. You may not be accompanied or represented by a legal representative. You must confirm to B2W the identity of your proposed companion at least 2 working days before the date of the meeting.
- **3.14** Following an appeal being considered and concluded by a nominated member of the Senior Management Team, you will be notified in writing, with reasons, of the decision made on your appeal. This will normally be within 10 working days. This decision is final and there will be no further right of appeal within B2W.

4 Stage 4 - External complaints

- **4.1** If you remain dissatisfied following your complaint being considered at Stage 3 of the complaints procedure then you may be able to raise a complaint with the Education Skills Funding Agency by:
 - Sending your complaint to: Complaints Team, Cheylesmore House, Quinton Road, Coventry, CV1 2WT
 - E-mailing your complaint to complaintsteam@sfa.bis.gov.uk Further information relating to the Education and Skills Funding Agency's complaints procedure is available via the following link:

https://www.gov.uk/government/organisations/education-and-skills-funding-agency/about/complaints-procedure



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5. Monitoring Quality Assurance and Control

- 5.1 The Group Director of Quality and People will monitor the overall operation of the procedure to ensure that it is effective.
- 5.2 All complaints and outcomes are logged for the purpose of trends analysis by Senior Mangers.



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	Appendix 1 - Complaint Form (CF1)									
			To b	e comple	te	d by the Complainant				
	Fu	II Name	Contact Address							
Te	leph	one Number	Course of Study							
				E	m	ail Address				
	Plea	ase describe the s	teps	s you have	e t	aken to resolve your complai	nt in	formally.		
_				- -						
Please	give	clear and precise	det	ails of yo	ur	complaint? (Continue on a sepa	rate	sheet if nec	essar	ry)
What would you consider to be an acceptable outcome										
		A Student		Dopros	200	ting a group of learners	-orm	or Loarnor		
Are you:	<u>'</u>	A Parent/Guardia	n '	Representing a group of learners Former Learner External stakeholder						
		Signature		Learner signature (if form			Date			
	completed by a representative)									
To be completed by the person logging the complaint										
	Form received by (please print): Department Date									
		Department		Da	ite					
	the complaint)									
Dat	Date copy sent to Group Director of Quality and People Date				ite					
		Quality and People	ਦ 							



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Student Complaints Procedure Summary Flowchart

